

A circular black ink stamp from the Intellectual Property Office (IPO). The text "O I P E J C 8 7" is curved along the top inner edge. The date "FEB 27 2003" is stamped in the center. The text "INTELLECTUAL PROPERTY OFFICE" is curved along the bottom inner edge.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Blatter et al.

Art Unit
3731

For: **LOCKING COMPRESSION PLATE APPARATUS**

Examiner: Paul Roberts

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TECHNOLOGY CENTER R3700

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R § 1.97

TO THE COMMISSIONER FOR PATENTS:

Pursuant to his (her) (their) duty of disclosure, applicant(s) enclose(s) copies (a copy) of the document(s) listed on the accompanying Form PTO-1449.

1. This information disclosure statement is being submitted:
- a. ☐ Within three months of the filing date of the above-identified application or within three months of the date of entry of the national stage, or before the mailing date of the first office action on the merits, whichever event occurs last. (No statement under 37 CFR 1.97(e) is required.)
- b. ☒ After the period set forth in paragraph 1a, but before the mailing date of either a final action or a notice of allowance. (Check box i. or ii.)
- i. ☒ A \$180.00 information disclosure statement submission fee set forth in 37 CFR 1.17(p) is enclosed.
- ii. ☐ A statement specified by 37 CFR 1.97(e) is set forth below.

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- c. ☐ After the mailing date of a final action or notice of allowance and on or before payment of an issue fee. A statement specified by 37 CFR 1.97(e) is set forth below. A petition requesting consideration of the information disclosure statement and the \$130.00 petition fee set forth in 37 CFR 1.17(i) are enclosed.
- d. ☐ Concurrent with the filing of a Request for Continued Examination.
- e. ☐ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under 37 CFR 1.114.
2. ☐ The attorney or agent signing below hereby states that:
- a. ☐ each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.
- b. ☐ no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.
3. ☐ Applicant(s) set forth below concise explanations of the relevance of each document not in the English language and/or selected document(s) in the English language.

DATED this 27TH day of FEBRUARY, 2003.

Respectfully submitted,

Kevin B. Laurence

Kevin B. Laurence
Registration No. 38,219

STOEL RIVES LLP



32642

PATENT TRADEMARK OFFICE

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
11502/15:1 US

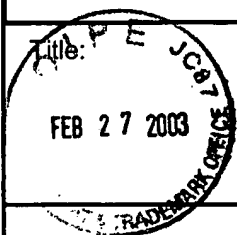
In Re Application Of: **Blatter et al.**

Serial No.
09/736,937

Filing Date
December 14, 2000

Examiner
Paul Roberts

Group Art Unit
3731



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Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

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37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☒ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

FEB 27 2003

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

11502/15:1 US

Re Application Of: Blatter et al.

Serial No.

09/736,937

Filing Date

December 14, 2000

Examiner

Paul Roberts

Group Art Unit

3731

Title: LOCKING COMPRESSION PLATE APPARATUS

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-2375 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) on _____

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Signature

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Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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*This certificate may only be used if paying by deposit account.

Kevin B. Laurence
Signature

Dated: FEBRUARY 27, 2003

Kevin B. Laurence
Registration No. 38,219
STOEL RIVES LLP



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CC:

02-28-03

3731

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): Blatter et al.	Docket No. 11502/15:1 US
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Serial No. 09/736,937	Filing Date December 14, 2000	Examiner Paul Roberts	Group Art Unit 3731
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Invention: **LOCKING COMPRESSION PLATE APPARATUS**

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PATENT & TRADEMARK OFFICE
FEB 27 2003

I hereby certify that this Supplemental Information Disclosure Statement (including the items listed below)
(Identify type of correspondence)
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.
20231-0001 on FEBRUARY 27, 2003
(Date)

Kevin B. Laurence
(Typed or Printed Name of Person Mailing Correspondence)
Kevin B. Laurence
(Signature of Person Mailing Correspondence)

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Note: Each paper must have its own certificate of mailing.

Transmitted: Supplemental Information Disclosure Statement (2 pgs.)
PTO-Form 1449 listing fourteen (14) references (1 pg.)
Copies of fourteen (14) references
PTO Credit Card Form 2038 charging the amount of \$180.00
Transmittal for Information Disclosure Statement (2 pgs.)
Certificate of Mailing by Express Mail Label No. EV140282090US
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